

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of:	) Date: December 10, 2003
Judith D. Auslander, et al.	) Attorney Docket No.: F-734
Serial No.: TBD	) Customer No.: 00919
Filed: herewith	) Group Art Unit: TBD
Confirmation No.: TBD	
For: WATER SOLUBLE COLORANTS FOR INK JET PRINTING	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**\*\* Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure

statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith (excluding the U.S. Patent Documents).

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



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Intellectual Property and Technology Law Department  
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Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)  
One copy of each of the references

<b>FORM PTO-1449 (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Atty. Docket Number</b> <b>F-734</b>	<b>Serial No.</b> <b>TBD</b>
	<b>Applicant</b> <b>Judith D. Auslander, et al.</b>	
	<b>Filing Date</b> <b>herewith</b>	<b>Group</b> <b>TBD</b>

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### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,005,022	12/21/99	W.M. Schwarz, Jr.	523	160	3/24/98
	AB	6,048,390	4/11/00	T. Yano et al.	106	31.43	7/15/97
	AC	6,224,660B1	5/1/01	A. Stubbe et al.	106	31.13	10/13/99
	AD	5,919,846	7/6/99	R. Batlaw et al.	524	83	2/19/98
	AE	6,284,027 B1	9/4/01	J. Auslander et al.	106	31.15	4/3/00
	AF	6,391,103 B1	5/21/02	J. Auslander et al.	106	31.27	2/2/00
	AG	6,224,660 B1	5/1/01	A. Stubbe et al.	106	31.13	10/13/99
	AH	6,559,306 B2	5/6/03	B.L. Kaul et al.	544	233	7/13/01
	AI	2002/0195586A1	12/26/02	Auslander et al.	252	301.16	5/9/02
	AJ	2003/0005303A1	1/2/03	Auslander et al.	713	176	4/24/02
	AK	2003/0041774A1	3/6/03	Auslander et al.	106	31.32	4/10/02
	AL	5,674,314	10/7/97	Auslander et al.	106	21 A	12/11/95

### FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

	AM	DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION
	AN	EP0356080A2	2/28/90	EPO	C09B	31/08	NA
	AO	0356080B1	8/6/94	EPO	C09B	31/08	NA
	AP	EP0468647A1	1/29/92	EPO	C09B	62/00	NA
	AQ	EP0468647B1	1/29/92	EPO	C09B	62/00	NA
	AR	EP06333142A1	1/11/95	EPO	B41M	5/00	NA
	AS	EP0992550A2	4/12/00	EPO	C09D	11/00	NO
	AT	EP0994165A1	10/9/99	EPO	C09D	11/00	NO
	AU	EP1035179A1	9/13/00	EPO	C09D	11/00	NA
	AV	WO9517472A1	6/29/95	WIPO	C09B	31/08	NA
	AW	WO02/057377A1	1/19/01	WIPO	C09D	11/00	ABST.
	AX	10-36735	2/10/98	JP	C09D	11/02	NO
	AY	JP10007967	1/13/98	JP	C09D	11/02	ABST
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	BA	JP11323230A2	11/26/99	JP	C09D	11/02	ABST
	BB	JP2000186227	7/4/00	JP	C09B	69/10	ABST

FORM PTO-1449 (Modified)	Atty. Docket Number F-734	Serial No. TBD
<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>BY APPLICANT</b>	Applicant Judith D. Auslander, et al.	
	Filing Date herewith	Group TBD

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	BE	JP2000289324	10/17/00	JP	B41M	5/00	ABST
	BF	JP2000290563	10/17/00	JP	C09D	11/00	ABST
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	BG						
	BH						
	BI						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.							